Attorney Docket No: **JOS-101/DIV**

In the United States Patent and Trademark Office

Application No.:
Filed:
Title: Method and Apparatus for Facilitating Use of Hypertext Links on the World Wide Web
Applicant(s): Julius O. Smith III
Examiner:
Art Unit:

Mailed 10/7/03
Palo Alto, CA

Information Disclosure Statement

Commissioner of Patents and Trademarks
Washington, District of Columbia 20231

Dear Sir or Madam:

Attached is a completed Form PTO-1449 and copies of the pertinent parts of the references cited thereon. It is requested that the document(s) on the enclosed form be made of record. Please also find a copy of the International Search Report. Please note that 5,973,444 is a continuation of 5,872,422 and is therefore not included.

Part I (Authority)

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).
This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.
Accordingly, this information disclosure statement requires no fee and no certification.

( ) 37 C.F.R. § 1.97(c).
This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113 or (2) a notice of allowance under 37 C.F.R. § 1.311.
Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) ($210), or a certification according to 37 C.F.R. § 1.97(e).

( ) 37 C.F.R. § 1.97(d).
This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(c).
Accordingly, this information disclosure statement requires the petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) ($130), a certification according to 37 C.F.R. § 1.97(e), and a petition requesting consideration of the information disclosure statement.

Conditional Petition

It is respectfully requested that this information disclosure statement be considered, good cause being presented in Part III herein (certification). please treat this paper as the required petition.

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record.

To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

Part II (Payment)

A check is enclosed as indicated:

(X) No fee is due.

( ) The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed ($240).

( ) The petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) is enclosed ($130).

Part III (Certification)

Pursuant to 37 C.F.R. § 1.97(e), I certify:

(X) No certification is necessary.

( ) (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

( ) The "communication from a foreign patent office" referred to in the certification is an International Search Report, possibly issued by the U.S. Patent and Trademark Office in its capacity as an International Search Authority or International Preliminary Examining Authority.

( ) The "counterpart foreign application" referred to in the certification corresponds to an ancestor or descendent application of the application for which this information disclosure statement is filed.

( ) (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Part IV (Additional Statement)

An additional statement regarding these items of information ( ) is, (X) is not, enclosed.
Copies of the cited art ( ) are enclosed, (X) are of record in parent application Serial No. **09/412,248** and will be provided if the Examiner deems it convenient.

Respectfully submitted,

Dated:

Thomas J. McFarlane
Reg. No. 39,299
Lumen IPS
2345 Yale Street, 2nd Floor
Palo Alto, CA 94306
Tel. 650-424-0100

FORM PTO-1449U.S. DEPARTMENT OF COMMERCE	ATTY. DOCKET NO. JOS-101/DIV	SERIAL NO.
LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)	APPLICANT Julius O. Smith III	
	FILING DATE	GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	4 9 8 2 3 4 4	01/01/91	Jordan, D.S.	364	521	05/18/88
	B	5 2 0 4 9 4 7	04/93	Bernstein, K.	359	157	10/31/90
	C	5 2 9 7 2 4 9	03/94	Bernstein, K.	395	156	10/31/90
	D	5 7 9 4 2 5 7	01/91	Liu, P. et al	707	501	08/11/98
	E						
	F						
	G						
	H						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	TRANSLATION NO
	I							
	J							

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	K		Carr.,L., D. De Roure, W. Hall, and G. Hill, " The Distributed Link Service: A Tool for Publishers, Authors and Readers." In The Web Revolution: Fourth International World Wide Web Conference; Dec. 11-15, 1995
	L		Carr.,L., D. De Roure, W. Hall, and G. Hill, " Application-Independent Link Processing." In The Web Revolution: Fourth International World Wide Web Conference; May, 1998
	M		Carr.,L., D. De Roure, W. Hall, and G. Hill, " Implementing an Open Link service for the World-Wede Web" Pages 61-71, Vol.1, In The Web Revolution: Fourth International World Wide Web Conference; Dec. 11-15, 1995
	N		Davis, H., W. Hall, I. Heath, G. Hill, and R. Wilkins, "Towards an Integrated Information Environment with Open Hypermedia Systems." Proceedings of Hypertext-92, pages 181-190, 1992.
	O		Hall, W., G. Hill, and H. Davis; "Microcosm Link Service" Proceeding of Hypertext-93; November 1993.
	P		Hitchcock, S., "Open Journal Project: Final Report to eLib." Tech. Rept. Multimedia Research Group, University of Southhampton. November 1998.

	Q		Hitchcock, S., L. Carr, S. Harris, J. Hey, and W. Hall; "Citation Linking: Improving Access to Online Journals." Proceedings of Second ACM Conference on Digital Libraries, Philadelphia" pages 115-122, 1997; New York: New York: Association for Computing Machinery.
	R		Hithcock, S., F. Quek, L. Carr, W. Hall, A. Witbrock, and I. Tarr. "Linking Everything to Everything: Journal Publishing Myth or Reality." In Proceedings of the ICCC/IFIP Conference on Electronic Publishing 97: New Models and Opportunities." Electronic Press Ltd, Canterbury, UK. April, 1997.
	S		Hithcock, S., L.A. Carr, W. Hall, S. W. Harris, S. Probets, D. Evans, and D. Brailsford, "Linking Electronic Journals: Lessons from the Open Journal Project." D-Lib Magazine, 1998
	T		Hithcock, S., R. Kimberley, S. Harris, L. Carr, and W. Hall, "Webs of Research: Putting the User in Control." In Proceedings of the Seventh International Conference on Electronic Publishing, Document Manipulation, and Typography (EP-98), April, 1998.
	U		Kaindl, H., and S. Kramer. "Semiautomatic Generation of Hypertext Links: A Practical Solution." In Proceedings of Hypertext-99. 1999.
	V		Murray-Rust, P., and l. West. "Virtual Hyperglossary (VHG)." 1998.
	W		Openly Informatics, I. 1999; "S-Link-S" 1999
	X		Probets, S., D.F. Brailsford, L. Carr, and W. Hall. "Dynamic Link Inclusion in Online PDF Journals." In: Proceedings of the Seventh International Conference on Electronic Publishing, Document Manipulation, and Typography (EP-98). April, 1998.
	Y		Raymond, D.R., and F.W. Tompa "Hypertext and the Oxford English Dictionary." Communication of the ACM, 31(7): 871-897. 1998
	Z		Rizk, A., and D. Sutcliffe. "Distributed Link Service in the Aquarelle Project." In: Proceedings of Hypertext-97. 1997
	AA		Salton, G., and J.Allan. "Selective Text Utilization and Text Traversal." In: Proceedings of Hypertext-93. 1993.
	BB		Andrew Pam, "Xanadu FAQ." 1997

EXAMINER	**DATE CONSIDERED**

*** EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.